

# Looking for Neuroimaging Markers in Frontotemporal Lobar Degeneration Clinical Trials: A Multi-Voxel Pattern Analysis Study in *Granulin* Disease

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**Abstract.** In light of future pharmacological interventions, neuroimaging markers able to assess the response to treatment would be crucial. In *Granulin* (*GRN*) disease, preclinical data will prompt pharmacological trials in the future. Two main points need to be assessed: 1) to identify target regions in different disease stages and 2) to determine the most accurate functional and structural neuroimaging index to be used. To this aim, we have taken advantage of the multivariate approach of multi-voxel pattern analysis (MVPA) to explore the information of brain activity patterns in a cohort of *GRN* Thr272fs carriers at different disease stages (14 frontotemporal dementia (FTD) patients and 17 asymptomatic carriers) and a group of 33 healthy controls. We studied structural changes by voxel-based morphometry (VBM), functional connectivity by assessing salience, default mode, fronto-parietal, dorsal attentional, executive networks, and local connectivity by regional homogeneity, amplitude of low frequency fluctuations (ALFF), fractional ALFF (fALFF), degree centrality, and voxel-mirrored homotopic connectivity. In FTD patients with *GRN* mutation, the most predictive measure was VBM structural analysis, while in asymptomatic carriers the best predictor marker was the local connectivity measure (fALFF). Altogether, all indexes demonstrated fronto-temporo-parietal damage in *GRN* pathology, with widespread structural damage of fronto-parietal and temporal regions when disease is overt. MVPA could be of aid in identifying the most accurate neuroimaging marker for clinical trials. This approach was able to identify both the target region and the best neuroimaging approach, which would be specific in the different disease stages. Further studies are needed to simultaneously integrate multimodal indexes in a classifier able to trace the disease progression moving from preclinical to clinical stage of the disease.

**Keywords:** Degree centrality, fractional amplitude of low frequency fluctuation, frontotemporal dementia, *granulin*, multi-voxel pattern analysis, regional homogeneity, resting state fMRI, support vector machine learning, voxel-mirrored homotopic connectivity

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## INTRODUCTION

Frontotemporal lobar degeneration (FTLD) is an heterogeneous group of diseases characterized by prominent frontal and behavioral features [1, 2]. Different clinical phenotypes have been described, i.e., the behavioral variant frontotemporal dementia (bvFTD), the agrammatic variant of primary progressive aphasia (avPPA), and the semantic variant of PPA (svPPA) [1, 2]. For each clinical phenotype, specific neuroimaging patterns have been identified [3, 4]. Neuropathologically, in most of cases, frontotemporal dementia (FTD) is characterized by either Tau (FTLD-Tau) or TAR-DNA-binding protein-43 (TDP-43) (FTLD-TDP) inclusions [5]. In the last two decades, a number of autosomal dominant causative mutations, such as mutations within *Microtubule Associated Protein Tau (MAPT)* and *Granulin (GRN)* along with repeat expansion of *C9orf72* gene [6, 7] have been described.

In particular, in *GRN* mutation cases, clinical presentation can be heterogeneous, ranging from typical bvFTD to avPPA, but also with some cases resampling corticobasal syndrome [8]. From this point of view, if the asymmetric fronto-temporo-parietal atrophy and functional impairment within salience network and fronto-parietal network have been defined [8, 9], neuroimaging pattern of alteration can be quite different considering the different clinical phenotypes of *GRN* mutations [10]. Multivariate statistical approach (like multi-voxel pattern analysis, MVPA) to neuroimaging data has received increasing attention, allowing the possibility to explore correlation/covariance pattern of activation between brain regions with greater statistical power, overcoming the classical univariate statistical inference of voxel-by-voxel analysis, by stringent correction for voxelwise multiple comparisons [11]. MVPA [12, 13] represents a promising approach to study the information that is represented in different patterns of neural activity, through the application of an automated classifier (i.e., support vector machine, SVM) [14] to capture the complex relationships among spatial pattern of brain activity in the studied populations [15]. From this perspective, monogenic FTD represents a privileged point of view to test the potential role of disease-modifying therapies, as cases with known neuropathology and following the continuum from presymptomatic to symptomatic stages [16, 17]. As recently demonstrated by GENFI initiative [17], *GRN* carriers are characterized by insular and parietal structural alteration up to 15 years before the clinical onset, supporting the pivotal role of specific preclinical

biomarker to trace neurodegenerative diseases like FTD and AD [18]. In light of future disease modifying therapies, neuroimaging markers able to assess the response would be key [17], with the ideal marker should respond not only to the region that needs to be investigated, but also in which way. In the present work, we applied the multivariate approach of MVPA to different magnetic resonance imaging (MRI) metrics to study *GRN*-related disease (asymptomatic subjects carrying *GRN* Thr272fs mutation and FTD patients bearing the same mutation): voxel-based morphometry (VBM, for structural grey and white matter alterations) [19] and a series of functional network connectivity measures. First of all, we explored different brain functional networks by independent component analysis (ICA), considering the involvement of specific networks in FTD related to *GRN* [20]. More recently, a number of functional parameters has been used to study local properties of brain activity at rest, also in FTD related to *GRN*, like regional homogeneity (ReHo), to look at the coherence of focal resting state fluctuations [21], the fractional amplitude of low frequency fluctuation (fALFF, that describes the power of the signal in the low frequency range) [22], degree centrality (DC, that allows the study of the nodes that form the whole-brain network [23], and the voxel homotopic connectivity (VMHC, as index of functional symmetry in resting-state brain activity) [24]. In the present work we have taken advantage of the multivariate approach as machine-learning classifier of MVPA: 1) to identify the most accurate functional and/or structural neuroimaging index to be used, and 2) to define the most accurate neuroimaging pattern to classify the different stages of the *GRN* disease.

## METHODS

### Subjects

Subjects were recruited at the Centre for Ageing Brain and Neurodegenerative Disorders, University of Brescia (Brescia, Italy). The studied sample included 64 subjects. 14 were patients with FTD carrying *GRN* Thr272fs mutation (FTD-*GRN*+), and 16 of them were age and gender-matched healthy subjects (old healthy controls, oHC). Furthermore, 17 asymptomatic carriers carrying *GRN* Thr272fs mutation (a*GRN*+) and 17 non-carriers belonging to the same families (young healthy controls, yHC) were recruited (see Supplementary Figure 1).

FTD patients met current clinical diagnostic criteria either for bvFTD (7 cases) or avPPA (7 cases)

[1, 2]. An extensive neuropsychological assessment in both patients and asymptomatic siblings, including the FTD-modified Clinical Dementia Rating scale (FTD-modified CDR) was administered, as previously described [25].

Written informed consent from the subject or from the responsible guardian if the subject was incapable, was obtained, for each procedure, before study initiation, as well as for blood collection by venous puncture, genetic analysis, and MRI scanning. The research protocol was approved by the ethics committee of the Brescia Hospital. The work conformed to the Helsinki Declaration.

#### Granulin sequencing

Genomic DNA was extracted from peripheral blood using a standard procedure. All the 12 exons plus exon 0 of *GRN*, and at least 30 base pairs (bp) of their flanking introns were evaluated by polymerase chain reaction (PCR) and subsequent sequencing. *GRN* Thr272fs (g.1977\_1980 delCACT) was tested as described elsewhere [26].

#### MRI acquisition

All imaging was obtained using a 1.5T Siemens Symphony magnetic resonance scanner (Siemens, Erlangen, Germany), equipped with a circularly polarized transmit-receive coil. In a single session, the following scans were collected from each studied subject: 1) Dual-echo turbo spin echo (TSE) (repetition time [TR]=2500 ms, echo time [TE]=50 ms), to exclude the presence of macroscopic brain abnormalities, according to exclusion criteria; 2) 3D magnetization-prepared rapid gradient echo (MPRAGE) T1-weighted scan (TR=2010 ms, TE=3.93 ms, matrix =  $1 \times 1 \times 1$ , in-plane field of view [FOV]=  $250 \times 250$  mm<sup>2</sup>, slice thickness = 1 mm, flip angle = 15°); and (3) T2\*-weighted echo planar (EPI) sensitized to blood oxygen level dependent (BOLD) contrast (TR=2500 ms, TE=50 ms, 29 axial slices parallel to anterior commissure–posterior commissure line (AC-PC) line, matrix =  $64 \times 64$ , field of view = 224 mm, slice thickness = 3.5 mm) for resting state fMRI. Blood oxygen level dependent EPI images were collected during rest for an 8-min period, resulting in a total of 195 volumes. During this acquisition, subjects were instructed to keep their eyes closed, not to think of anything in particular, and not to fall asleep.

#### MRI preprocessing

As previously described [16, 20], all preprocessing steps were carried out using Advanced Data Processing Assistant for resting-state fMRI (DPARSFA) (<http://rfmri.org/DPARSF>) [27] which is based on Resting-State fMRI Data Analysis Toolkit (REST, <http://www.restfmri.net>) [28] and Statistical Parametric Mapping (SPM8) (<http://www.fil.ion.ucl.ac.uk/spm>). Grey matter (GM) and white matter (WM) probability maps using SPM5 Unified Segmentation were calculated, applying a spatial smoothing with an isotropic Gaussian kernel (full-width at half-maximum (FWHM),  $10 \times 10 \times 10$  mm) to reduce spatial noise. For resting state fMRI analysis (ICA networks and local connectivity measures) all data were spatially normalized to the T1 unified segmentation template in Montreal Neurological Institute coordinates derived from SPM8 software and resampled to  $3 \times 3 \times 3$  cubic voxels. For all considered measures, a spatial smoothing (FWHM =  $8 \times 8 \times 8$  mm) was applied to reduce spatial noise. ICA functional brain networks, i.e., the salience (SN), the default mode (DMN), the fronto-parietal (FPN), the dorsal attentional (DAN), the executive (EN) networks were obtained. Moreover, local connectivity network measures, i.e., ReHo, ALFF, fALFF, DC, and VMHC, were computed. For each subject, all the derived voxelwise whole-brain maps (either structural (GM and WM) and functional (ICA networks, ReHo, ALFF, fALFF, DC, VMHC)) were considered for MVPA analysis.

#### Dimensionality reduction

Before MVPA analysis we need a dimensionality reduction of the data. The dimensionality problem, i.e., the number of voxels greater than the number of subjects, implies that the dataset is ill-conditioned, thus, not guaranteeing the existence of a unique solution. For this reason, we then reduced the computational complexity of the classification representing the data in a space of smaller dimensionality. First, we created a series of masks by performing t tests ( $T < 0$ ,  $p < 0.05$  FDR corrected) between all neuroimaging measures across participants within a classification comparison (FTD-*GRN*+ and oHC; *aGRN*+ and yHC). Then we used the singular value decomposition (SVD) to further reduce the dimensionality of all the data [29]. The SVD seek for an orthogonal basis that explained most of the variance of the data. By projecting the original data on the principal components, the set of correlated variables (voxels) were transformed into a set of

229 uncorrelated variables that were a linear combination  
 230 of the original variables. Defining a  $N$  (subjects)  $\times$   $M$   
 231 (voxels) mean centered matrix, the SVD searched the  
 232 eigenvalues and eigenvectors of the  $(M \times M)$  covari-  
 233 ance matrix. Because the number of voxels  $M$  exceeded  
 234 the number of subjects  $N$ , there were only  $N-1$  signif-  
 235 icant eigenvectors. The final results of the SVD is a  
 236 matrix of dimension  $N \times N$ .

### 237 *Multivoxel pattern analysis (MVPA)*

238 MVPA has gained increasing interest because it  
 239 allows the detection of differences between conditions  
 240 by focusing on the analysis and comparison of dis-  
 241 tributed spatial patterns of activity [30]. In order to  
 242 inspect if the different types of maps (i.e., structural  
 243 maps such as GM and WM probabilistic voxelwise  
 244 maps) as well as functional maps (i.e., ICA-based  
 245 network and local connectivity indexes) had statisti-  
 246 cally significant discrimination capacity, we employed  
 247 a SVM approach [29]. This can be considered a super-  
 248 vised classification problem where a classifier attempts  
 249 to capture the relationships between spatial patterns of  
 250 fMRI activity and experimental conditions, assigning  
 251 the fMRI activity of each subject to one of several pre-  
 252 defined conditions (for example, FTD-GRN+ versus  
 253 oHC) using a set of examples of the same fMRI activ-  
 254 ity. To make this decision we used the leave one out  
 255 method with the experimental data.

### 256 *Classifier*

257 To verify the existence of multivariate differences  
 258 in the studied comparisons (FTD-GRN+ versus oHC  
 259 and aGRN+ versus yHC) we treated each map as a  
 260 point in a multidimensional space (number of princi-  
 261 pal components), the result of the linear classification  
 262 was equivalent to search the hyperplane that separ-  
 263 ated the two classes. This hyperplane was a linear  
 264 function that was able to separate the data defined  
 265 as:

$$w^T v + b = 0$$

266 where  $w$  is the weight vector,  $b$  was the intercept  
 267 (offset) and  $v$  the volumes projected on the principal  
 268 components. This hyperplane was learned during the  
 269 training phase, and corresponds to a decision function  
 270 that can be used to classify the examples used in the  
 271 test phase. In our case, the algorithm used to find the  
 272 hyperplane of separation between the two classes was  
 273 the algorithm proposed by Boser et al. [31], also known

274 as SVM [29, 32]. The weight vectors and the intercept  
 275 determined the separation hyperplane. If the samples  
 276 were in the voxels space, the weight vector  $w$  repre-  
 277 sented a volume with the most discriminant regions.  
 278 This means that given two classes that represent the  
 279 two experimental conditions, a positive voxel value  
 280 indicates that the voxel has greater activity during con-  
 281 dition 1 compared to condition 2 in most of training  
 282 examples, and a negative voxel value indicates more  
 283 reduced activity in condition 1 compared to condition  
 284 2. Since the classifier was multivariate in nature, the  
 285 combination of all discriminant voxels, as a whole,  
 286 was identified as a global activation pattern in which  
 287 the brain states differ. The absolute value of each ele-  
 288 ment of the map, obtained by discriminant classifier,  
 289 determined its importance.

290 Furthermore, it was possible to obtain a probabilis-  
 291 tic map of the most discriminative voxels. This was  
 292 done using a statistical non-parametric methods. This  
 293 step tested the hypothesis that there was no difference  
 294 between the two brain states of the two classes using  
 295 a permutation test of the label of the two classes and  
 296 training the SVM with this permutation of labels. In  
 297 this way, we estimated a probability distribution of  
 298 each voxel under the assumption that there was no rela-  
 299 tionship between the label of the class. We achieved a  
 300 voxel level  $p$  statistic (proportion of values in the distri-  
 301 bution). For a given voxel, if  $p$  was significantly small,  
 302 then the voxel discriminates between the two classes.  
 303 In this sense, positive (increased, red to yellow) and  
 304 negative (reduced, blue to green) areas should not be  
 305 strictly considered as an absolute change of the studied  
 306 parameter (for example GM density), but only as areas  
 307 with significantly greater information to classify a sub-  
 308 ject as patients (i.e., FTD-GRN+) or healthy controls  
 309 (i.e., oHC).

### 310 *Classifier performance*

311 To evaluate the performance of the classifier, we  
 312 used a leave-one-subject-out cross validation test [33],  
 313 considered a valid approach with scarce data [34]. In  
 314 each learning phase, given the set of  $S$  subjects, one  
 315 example was left out and the  $S-1$  remaining examples  
 316 were used as training set. After the learning phase,  
 317 in the test phase, the excluded subject was used to  
 318 assess the performance of the machine. This procedure  
 319 was repeated  $S$  times, once for each excluded subject.  
 320 The result was an average performance of the differ-  
 321 ent trained machines. Furthermore, for each studied  
 322 index (structural and functional) sensitivity and speci-  
 323 ficity were defined. Finally, for each studied subject,

misclassification (i.e. how many indexes correctly classified that specific subject) was considered.

All calculations were made using the LIBSVM library by Chang et al. [35] and in house developed Matlab scripts.

### Statistical analysis

SPSS package (v. 21.0, Chicago, IL, USA) was employed to run statistics for group differences in demographic and clinical characteristics. Group comparisons were assessed by Mann-Whitney test or  $\chi^2$  test, setting the statistical threshold to  $p$ -values Bonferroni's corrected  $\leq 0.05$ .

## RESULTS

Demographic, clinical and neuropsychological characteristics of the studies subjects were reported in Table 1. See Supplementary Table 1 for the neuropsychological evaluation of FTD-GRN+ patients.

We inspected whether the different anatomical measures and the different patterns of functional connectivity were able to classify the two pathological categories compared to healthy controls groups (FTD-GRN+ versus oHC and aGRN+ versus yHC) correctly. For each group, we calculated a series of voxelwise anatomical (GM and WM) and functional measures related to local connectivity (DC, ALFF, fALFF, ReHo, VMHC) and to ICA networks (SN, DMN, EN, FPN, DAN). Figure 1A showed a graph depicting the classification performances and the related table with the accuracy for each studied measures; Fig. 1B reported, for each subject, the number of measures with a correct classification (true positive). Classification performance was significantly lower in FTD-GRN+ compared to oHC ( $8.9 \pm 3.2$  versus  $11.4 \pm 2.5$ ,  $p = 0.025$ , Mann Whitney U test). Interestingly, the four patients with the smallest number

of correct classifications (patient 1 = 6; patient 6 = 3; patient 11 = 6; patient 14 = 4) were all affected by agrammatic variant of PPA. In this sense, the inclusion of both clinical phenotypes of GRN disease partially reduced homogeneity and could explain the difference in classification performances. No significant differences between aGRN+ and yHC ( $6.8 \pm 2.0$  versus  $6.8 \pm 1.5$ ,  $p = 0.93$ , Mann Whitney U test). Sensitivity and specificity for all the studied measures are reported in Table 2. As expected, the FTD-GRN+ versus oHC comparison showed better classification performances than aGRN+ versus yHC. GM atrophy (with left frontal, temporal, and parietal pattern) raised the greatest values, correctly classifying 96.5% of FTD-GRN+; even white matter bundle alterations were good predictors of FTD-GRN+ pathology, with the more discriminative tracts localized in fronto-temporal regions (uncinate fasciculus, anterior commissure and inferior longitudinal fasciculus). The high overlap between blue-green areas (classifying for FTD-GRN+ group) and known atrophic regions in FTD-GRN+ (in line with literature data) [4, 10], supported the concept that atrophic regions in FTD-GRN+ contained the highest information to correctly classify an FTD-GRN+ subject. On the other hand, red-yellow areas should not be considered as areas with "increased" GM density in FTD-GRN+, but only as areas with the highest predictive value for oHC in MVPA analysis.

In regard to functional measures, all local connectivity measures, such as DC, ALFF, fALFF, ReHo, and VMHC showed good discrimination power in identifying FTD-GRN+ (79.3–82.8%). Even with some slight differences among measures, the best discriminative pattern for local connectivity indexes was characterized by a reduced connectivity in the frontal, temporal, and parietal regions with a concomitant increased connectivity in the surrounding regions (Fig. 2). Considering ICA networks, ventral SN and left FPN showed the highest classification performances

Table 1  
Clinical and demographic characteristics of included subjects

Variable	FTD-GRN+ (n = 14)	oHC (n = 16)	aGRN+ (n = 17)	yHC (n = 17)	p
Age at evaluation, y	60.4 ± 5.3	59.7 ± 8.7	41.6 ± 9.0	36.3 ± 7.4	n.s.*
Age at onset, y	58.8 ± 6.2	–	–	–	
Disease duration, y	1.93 ± 1.9	–	–	–	
Gender, female % (n)	64.3 (9)	75.0 (12)	47.1 (8)	64.7 (11)	n.s. <sup>^</sup>
Family history, positive % (n)	85.7 (12)	–	100 (17)	–	
Clinical phenotype, bvFTD % (n)	50.0 (7)	–	–	–	
Educational level, y	7.5 ± 2.6	9.1 ± 4.6	11.2 ± 3.8	11.6 ± 3.4	n.s.*

FTD, frontotemporal dementia; FTD-GRN+, FTD patients carrying *Granulin* Thr272fs mutation; oHC, old healthy controls; aGRN+, asymptomatic subjects carrying *Granulin* Thr272fs mutation; yHC, young healthy controls; FTD-CDR, frontotemporal dementia modified Clinical Dementia Rating scale. \*Mann-Whitney U test (FTD-GRN+ versus oHC and aGRN+ versus yHC);  $\chi^2$  test (FTD-GRN+ versus oHC and aGRN+ versus yHC).

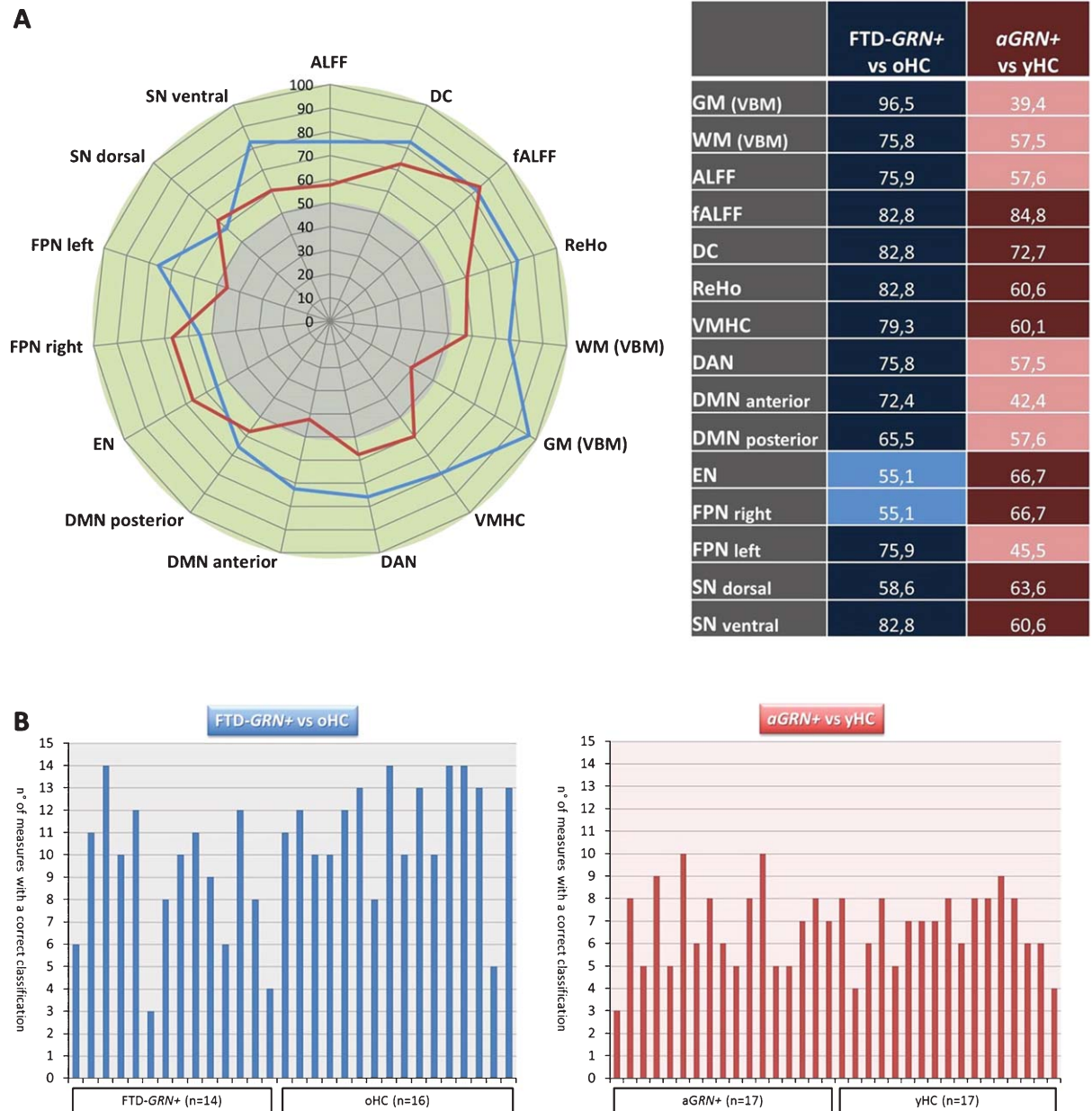


Fig. 1. A) Radar chart showing the classification results (discriminative power) of the different structural and functional measures. The green ring represents the significant (>55% of right classification) performance for each measure. Blue line is for FTD-GRN+ versus oHC and red line is for aGRN+ versus yHC. On the right, a table with the discriminative power (accuracy) of each studied measure is reported. B) Bar graphs with the number of measures with a correct classification (true positive) for each studied subject, considering FTD-GRN+ versus oHC (blue) and aGRN+ versus yHC (red); the overall number of measures was 15. FTD-GRN+, frontotemporal dementia carrying *Granulin* mutation; aGRN+, asymptomatic carriers of *Granulin* mutation; oHC, old healthy controls; yHC, young healthy controls; GM, grey matter; WM, white matter; VBM, voxel based morphometry; SN, salience network; DMN, default mode network; FPN, fronto-parietal network; DAN, dorsal attentional network; EN, executive network; ReHo, regional homogeneity; ALFF, amplitude of low frequency fluctuations; fALFF: fractional amplitude of low frequency fluctuations; DC, degree centrality; VMHC, voxel-mirrored homotopic connectivity.

398 between FTD-GRN+ versus oHC (82.8% and 75.9%),  
 399 with a spatial pattern of frontal-temporal and parietal  
 400 reduction, with areas of increased connectivity in left  
 401 frontal cortex (left FPN).

In aGRN+, structural indexes (GM and WM density) were not able to classify aGRN+ subjects (GM: 39.4% and WM: 57.5%). Otherwise, the best classification performances were obtained by functional

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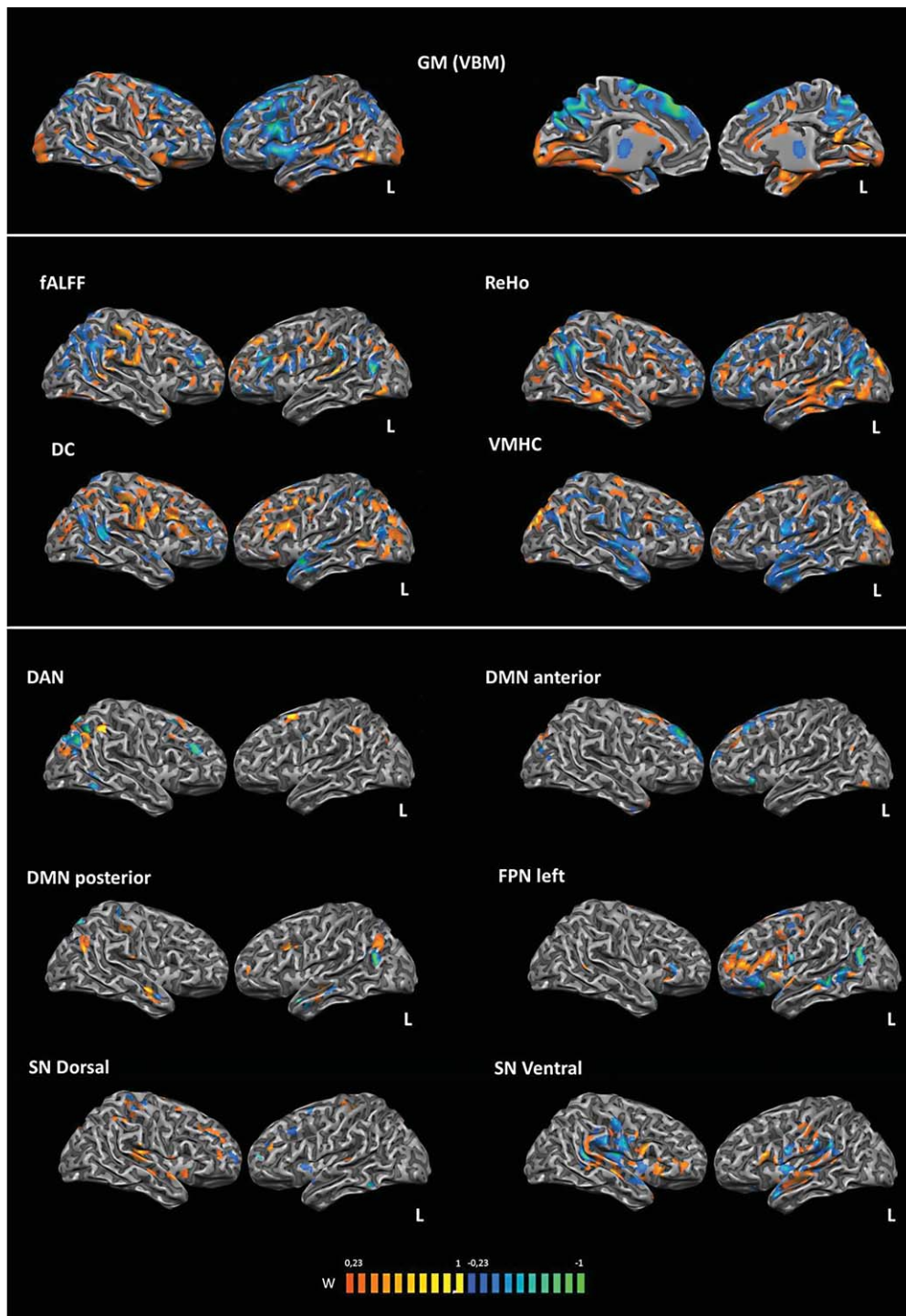


Fig. 2. Significant anatomical, voxelwise functional and network-related discriminative patterns for the FTD-GRN+ versus oHC. Blue to green are areas with “reduced” activity that predict for FTD-GRN+, red to yellow are areas with “increased” activity that predicts for FTD-GRN+. All significant results are superimposed on a 3D T1 MRI anatomical template. FTD-GRN+, frontotemporal dementia carrying *Granulin* mutation; aGRN+, asymptomatic carriers of *Granulin* mutation; oHC, old healthy controls; yHC, young healthy controls; GM, grey matter; WM, white matter; VBM, voxel based morphometry; SN, salience network; DMN, default mode network; FPN, fronto-parietal network; DAN, dorsal attentional network; EN, executive network; ReHo, regional homogeneity; ALFF, amplitude of low frequency fluctuations; fALFF: fractional amplitude of low frequency fluctuations; DC, degree centrality; VMHC, voxel-mirrored homotopic connectivity.

Table 2  
Standard deviation, sensitivity and specificity of classifier performances across the leave-one-out validation in the studied groups and imaging modalities

	FTD-GRN+ versus oHC			aGRN+ versus yHC		
	SD	Sensitivity	Specificity	SD	Sensitivity	Specificity
GM (VBM)	4.9	0.86	0.99	6.1	0.23	0.41
WM (VBM)	6.3	0.78	0.87	9.9	0.52	0.52
ALFF	7.6	0.5	0.75	5.7	0.41	0.29
fALFF	4.7	0.71	0.75	8.6	0.76	0.52
DC	9.7	0.78	0.87	6.8	0.58	0.58
ReHo	3.6	0.71	0.93	6.3	0.41	0.47
VMHC	5.4	0.43	0.93	6.4	0.35	0.52
DAN	7.7	0.71	0.68	10.9	0.35	0.41
DMN anterior	7.2	0.71	0.68	4.9	0.35	0.29
DMN posterior	5.6	0.42	0.75	5.9	0.35	0.35
EN	5.2	0.28	0.5	10.4	0.47	0.47
FPN right	5	0.28	0.37	7.2	0.64	0.58
FPN left	7.3	0.64	0.68	5.3	0.29	0.35
SN dorsal	7.1	0.28	0.62	10.8	0.41	0.41
SN ventral	5.7	0.64	0.93	4.1	0.52	0.52

FTD-GRN+, frontotemporal dementia carrying *Granulin* mutation; aGRN+, asymptomatic carriers of *Granulin* mutation; oHC, old healthy controls; yHC, young healthy controls; SD, standard deviation; GM, grey matter; WM, white matter; VBM, voxel-based morphometry; ALFF, amplitude of low frequency fluctuations; fALFF, fractional amplitude of low frequency fluctuations; DC, degree centrality; ReHo, regional homogeneity; VMHC, voxel-mirrored homotopic connectivity; DAN, dorsal attentional network; DMN, default mode network; EN, executive network; FPN, fronto-parietal network; SN, salience network.

measures (fALFF: accuracy: 84.8%, sensitivity: 76%, specificity: 52%; and DC; accuracy: 72.7%, sensitivity: 58%, specificity: 58%). The best discriminative pattern for local connectivity indexes presented a reduced connectivity in posterior regions and increased connectivity in prefrontal regions (Fig. 3). ICA networks presented an overall low discrimination power, with the best performances for the right FPN, EN and ventral SN (66.7%, 66.7% and 63.6%) (Figs. 1 and 3). A high spatial concordance between ventral SN and right FPN was evident especially in the inferior frontal regions; otherwise, EN presented a pattern of increased connectivity in prefrontal regions, accordingly with local connectivity findings (Figs. 1 and 3).

As summarized in Fig. 4 (overlap of classification areas across all the measures here employed between FTD-GRN+ versus oHC (Fig. 4A) and aGRN+ versus yHC (Fig. 4B)), the best predictive measure to distinguish FTD-GRN+ from oHC was VBM GM density, with the more discriminative pattern involving fronto-temporal and parietal regions (and, in particular, left frontal and parietal among all, by areas). Conversely, aGRN+ group was better classified by local connectivity measures (especially fALFF) with a discrimination pattern characterized by a widespread connectivity decrease in parietal and posterior associative areas, with a well-structured increased connectivity in prefrontal regions. Especially for aGRN+ and in line with literature data [16, 20, 36], the concept that red-yellow areas could be considered as increased connectivity

was more plausible. Finally, fALFF index was the only measure with high (>80%) discriminative power in both comparisons (FTD-GRN+ versus oHC and aGRN+ versus yHC).

## DISCUSSION

In the last years, considerable steps forward in the knowledge of the pathogenesis of FTD have been made [7, 37], principally based on the identification of neuropathological inclusions and inherited determinants of the disease [38–40]. In this sense, the study of the monogenic forms of FTLD, such as *GRN*, *MAPT* or *C9orf72* [41, 42], represents the ideal experimental model to define markers related to a specific molecular pathway [6, 17, 43]. *GRN* mutations lead to a homogeneous FTD-TDP pathology and give the opportunity to unravel FTD from asymptomatic to symptomatic stages [17, 20, 44]. The definition of surrogate endpoints will be mandatory to test the efficacy of future pharmacological treatments [17].

MRI represents one of the most powerful tools to study *in vivo* neurodegenerative disorders, with a wide range of possible sequences, and to explore in depth brain functional and structural abnormalities [17, 45]. However, in FTD spectrum, and in *GRN* disease in particular, it is still unknown which target brain patterns might be the most sensitive to capture the ongoing neuropathological process in both pre-symptomatic and symptomatic disease phases, and which MRI technique



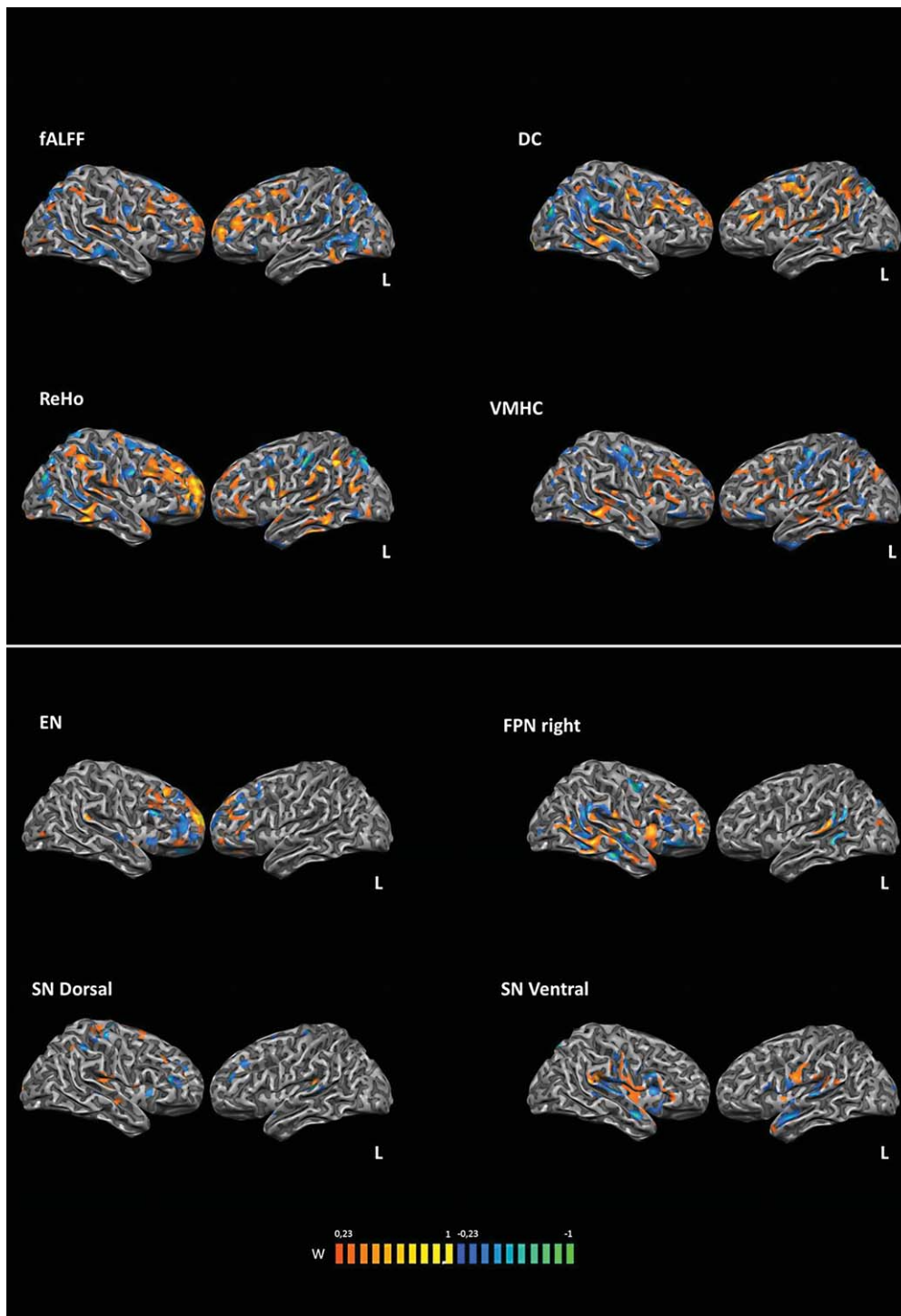


Fig. 3. Significant anatomical, voxelwise functional and network-related discriminative patterns for the *aGRN+* group versus *yHC*. Blue to green are areas with “reduced” activity that predicts for *aGRN+*, red to yellow are areas with “increased” activity that predicts for *aGRN+*. All significant results are superimposed on a 3D T1 MRI anatomical template. *aGRN+*, asymptomatic carriers of *Granulin* mutation; *oHC*, old healthy controls; *yHC*, young healthy controls; SN, salience network; DMN, default mode network; FPN, fronto-parietal network; DAN, dorsal attentional network; EN, executive network; ReHo, regional homogeneity; ALFF, amplitude of low frequency fluctuations; fALFF: fractional amplitude of low frequency fluctuations; DC, degree centrality; VMHC, voxel-mirrored homotopic connectivity.

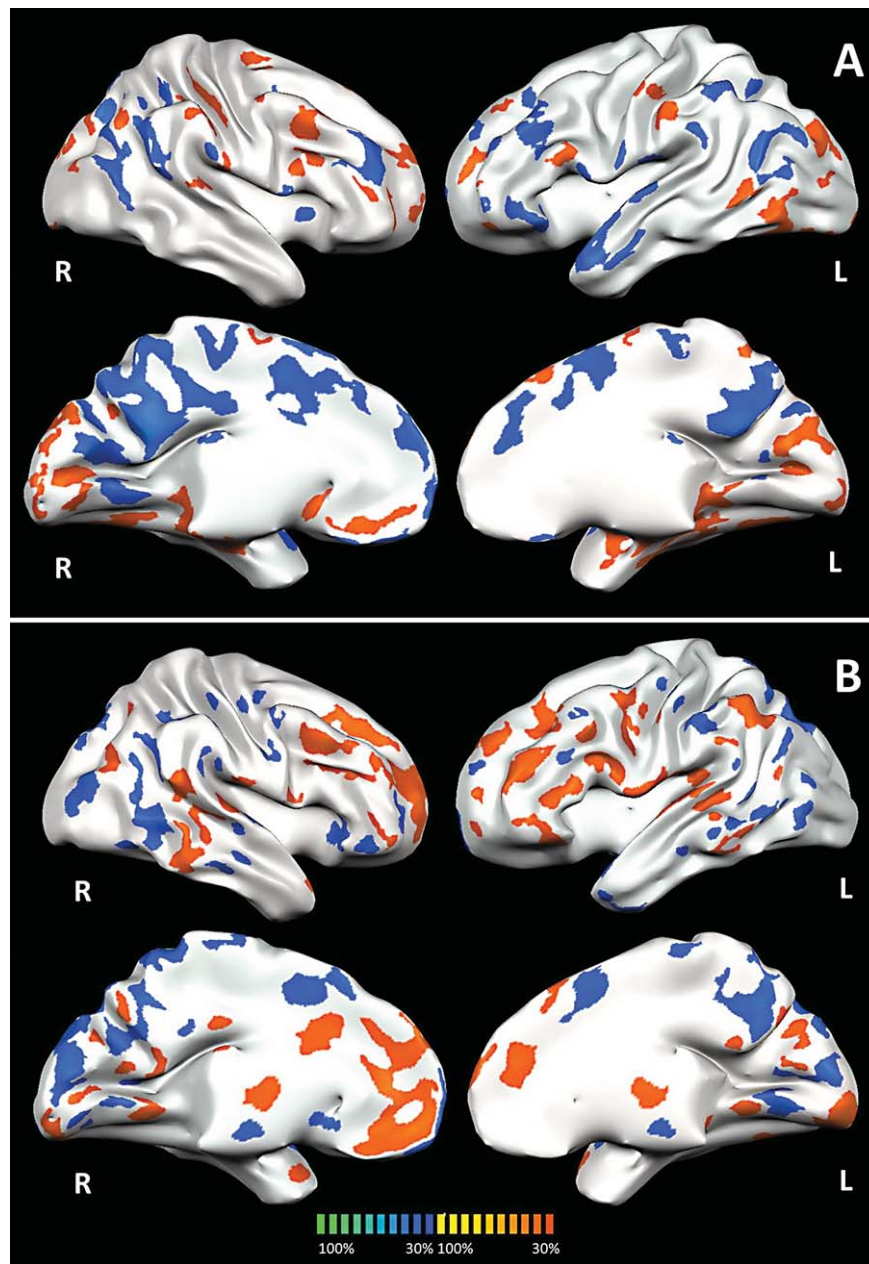


Fig. 4. Probabilistic maps with the areas with the highest cumulative predictive weights for all the measures. A) FTD-GRN+. B) aGRN+. Blue to green are areas with “reduced” activity that predict for the pathological sample, red to yellow are areas with “increased” activity that predict for the pathological sample. These images shows the spatial overlap of the predictive patterns relative to the different measures here employed. Results are superimposed on 3D T1 MRI template. FTD-GRN+, frontotemporal dementia carrying *Granulin* mutation; aGRN+, asymptomatic carriers of *Granulin* mutation.

464 should be used to achieve the best accuracy. In the  
 465 present work, we took advantage of MVPA to con-  
 466 comitantly assess the utility of a series of MRI data in  
 467 a cohort of *GRN* Thr272fs carriers, in their presymp-  
 468 tomatic and symptomatic stages of the disease. The  
 469 herein SVM approach was able to identify the optimal  
 470 separation hyperplane (best accuracy) that maximized

the separation between two given classes (i.e., FTD-  
 GRN+ versus oHC or aGRN+ versus yHC), using either  
 structural measures, such as grey and white matter  
 density, and resting-state fMRI functional connectiv-  
 ity maps (such as functional networks or/and local  
 connectivity maps). This allowed us to define which  
 technique was the most useful in detecting significant

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478 differences between groups and to identify the global  
479 pattern (rather than the significant clusters for the  
480 univariate approach) that best characterized and sub-  
481 sequently classified *GRN* carriers as compared to  
482 controls. In line with previous literature data, struc-  
483 tural alterations were the most predictive measures  
484 when disease is overt, due to the neuronal loss and  
485 the disruption of white matter bundles [8, 17]. In this  
486 stage, we even found functional connectivity measure  
487 abnormalities, demonstrating both large-scale network  
488 disconnections and local within-network coherence  
489 hypoconnectivity [46, 47]. Overall, in symptomatic  
490 *GRN* mutation carriers, the brain damage was detected  
491 in the frontal, temporal and parietal regions, with a  
492 predominant involvement of left frontal and parietal  
493 areas. Furthermore, we were able to demonstrate that:  
494 1) other functional networks beyond SN were involved  
495 in the clinical phase of FTD-*GRN+* and 2) the best  
496 discriminative spatial pattern (for each of the stud-  
497 ied measures) was characterized by areas of reduced  
498 connectivity as well as of increased connectivity, the  
499 latter with a potential compensatory role [20, 46].  
500 Finally, local connectivity metrics supported the idea  
501 of a long-distance functional impairment coupled with  
502 grey matter atrophy in frontal, temporal and parietal  
503 regions.

504 Conversely, in the preclinical phase, structural  
505 changes were not able to identify *GRN* carriers  
506 accurately, while resting-state functional connectiv-  
507 ity measures did. As compared to the results of the  
508 Genetic Fronto-temporal dementia Initiative (GENFI)  
509 study [17], we were not able to find any significant  
510 structural alteration in our sample of asymptomatic  
511 *GRN* carriers. This was probably due to the small sam-  
512 ple size ( $n = 17$ ) and to the mean age of our group,  
513 up to 10 years younger than that in GENFI study  
514 [17]. In our work, we found that local connectivity  
515 indexes, such as fALFF and DC, showed better classi-  
516 fication performances than ICA networks measures.  
517 These local connectivity metrics captured the sub-  
518 tle perturbations of the local integration of the signal  
519 (namely focusing on the power of the signal as the  
520 sum of amplitudes in the low frequency oscillations,  
521 fALFF) [22], and the node characteristics that make  
522 a node “central” within a network, by counting the  
523 number of direct connections from each node to all  
524 others (DC) [23]. These abnormalities were indeed  
525 able not only to detect the alterations of a single  
526 network, but the impairment of the inter-correlation  
527 among the involved networks [48]. Interestingly, recent  
528 electrophysiological studies suggested that functional  
529 connectivity perturbation might be modulated, and

530 might represent a target and a marker of disease-  
531 modifying therapies [49].

532 These data suggest that the early alterations in  
533 *aGRN+* are represented by cumulative decreases  
534 in areas belonging to fronto-parietal regions with  
535 increased functional connectivity in prefrontal areas,  
536 measured by all local connectivity metrics and EN  
537 network. This was in line with our previous find-  
538 ings in *GRN*-related disease [9, 16, 20], demonstrat-  
539 ing an impaired resting state functional connectivity in  
540 posterior regions as well as an increased (potentially  
541 compensatory) connectivity in prefrontal regions [50],  
542 in accordance with the concept of molecular nex-  
543 opathies proposed by Warren et al. [51]. In this sense,  
544 in line with GENFI study [17], *GRN* disease is char-  
545 acterized by a progressive fronto-parietal impairment  
546 moving from preclinical to clinical stages, represent-  
547 ing the pathological spreading of the disease following  
548 long-distance white matter bundles [51]. On the other  
549 hand, regions (i.e., prefrontal cortex) with a different  
550 profile of functional alteration during the *GRN* disease  
551 course, could reflect the complexity of brain dynamic  
552 interaction, with some regions with increased activity  
553 as compensatory effort towards damaged *at-distance*  
554 regions.

555 Our work argued for the usefulness of MVPA in  
556 identifying the most accurate neuroimaging marker  
557 for clinical trials in *GRN*-related disease, suggesting  
558 that functional connectivity metrics (i.e., local con-  
559 nectivity indexes) were the most accurate measures in  
560 preclinical phases, while structural (i.e., grey and white  
561 matter) alterations were the best tools when disease is  
562 overt. Furthermore, the best local connectivity measure  
563 (fALFF) in *aGRN+* showed also high performances  
564 (total accuracy >80%) in discriminating FTD-*GRN+*  
565 versus healthy controls. In this sense, throughout the  
566 application of MVPA, fALFF could be considered the  
567 best MRI marker in the *GRN* disease continuum. From  
568 this point of view, MVPA could be of help in describ-  
569 ing the neuroimaging pattern of each stage of *GRN*  
570 disease: 1) an asymmetric pattern of frontal, temporal  
571 and parietal atrophy with a complex involvement of  
572 many functional brain networks in FTD-*GRN+*; and  
573 2) a predominant involvement of functional brain net-  
574 works, with a not well organized reduced connectivity  
575 in posterior regions associated with a high-structured  
576 increased activity in prefrontal regions in *aGRN+*.

577 However, our study presented several significant  
578 limitations. First of all, the cross-sectional evaluation  
579 of our samples (FTD-*GRN+* and *aGRN+*) did not  
580 allow a complete understanding of the complex mod-  
581 ulation of brain damage, especially for resting state

fMRI parameters. In this sense, multicenter longitudinal studies on genetically-defined populations are mandatory, in line with the aim of GENFI [17]. A further limitation, the sample size in the FTD-GRN+ group (16 patients, 8 bvFTD and 8 avPPA) did not allow a further evaluation of the functional and structural parameters of GRN mutation in the different clinical phenotypes; furthermore, as demonstrated by the analysis of the number of correct classifications for each subjects, in FTD-GRN+ the subject with the lowest number of correct classifications belonged to agrammatic variant of PPA, suggesting a partial inhomogeneity in FTD-GRN+ group. Moreover, nuisance variables inclusion in MVPA approach is not completely standardized, with multivariate analysis not controlled for age and education effects. Finally, despite high values of total accuracy, sensibility is approximately 70% and specificity 50%. In this regard, it is important to note that SVM was not originally defined to search for the best combination of sensitivity and specificity (like in receiver operating characteristic curve) but to define the best discrimination between two condition (or classes) [52]. Furthermore, in our work poor specificity could be primary due to a higher variability in yHC compared to aGRN+, considering the small sample size of the studied group. Considering SVM theoretical approach, this technique is optimized for groups with similar (or better identical) size, making the analysis of imbalanced groups problematic [52]. All these considerations partially weakening a direct clinical application of each single MRI index alone, supporting the idea of a global classifier to apply all the studied indexes at the same time.

Further studies are needed: 1) to simultaneously integrate all the aforementioned measures in a classifier able to trace the disease progression (increasing total accuracy, sensibility and specificity) moving from preclinical to clinical stage of the disease and 2) to integrate neuroimaging and biological markers in a multivariate statistical design ameliorating classification performances in GRN-related disease in monogenic and sporadic dementias. Furthermore, multivariate techniques like MVPA could be of interest in international multicenter study on GRN mutation as well as for other causative mutations for FTLD (*MAPT*, *C9orf72*).

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## SUPPLEMENTARY MATERIAL

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